# Lecture 12: Harish-Chandra's world...

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# $(\mathfrak{g}, K)$ -modules

 Let G be a connected reductive group defined over ℝ and let K be a maximal compact subgroup of G(ℝ). Let

$$\mathfrak{g} = \operatorname{Lie}(G), \ \mathfrak{g}_{\mathbb{R}} := \operatorname{Lie}(G(\mathbb{R})), \ \mathfrak{k} := \operatorname{Lie}(K),$$

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so that  $\mathfrak{g} = \mathfrak{g}_{\mathbb{R}} \otimes_{\mathbb{R}} \mathbb{C}$ .

# $(\mathfrak{g}, K)$ -modules

- (I) Recall that a (g, K)-module is a C-vector space M (no topology!) together with C-linear actions (of Lie algebras, resp. groups) of g and of K, such that
  - for any  $m \in M$  the space  $\mathbb{C}[K]m$  is finite dimensional and affords a continuous (thus smooth) action of K.
  - For  $X \in \mathfrak{k}$  and  $m \in M$  we have

$$X.m = \lim_{t \to 0} \frac{\exp(tX).m - m}{t}$$

• For  $X \in \mathfrak{g}$ ,  $k \in K$  and  $m \in M$  we have

$$k.(X.(k^{-1}.m)) = \mathrm{Ad}(k)(X).m.$$

Let  $(\mathfrak{g}, K) - Mod$  be the category of  $(\mathfrak{g}, K)$ -modules.

# The enveloping algebra

 The category of g-representations is equivalent to that of left U(g)-modules. A classical but nontrivial result:

Theorem (Poincaré-Birkhoff-Witt) If  $X_1, ..., X_n$  is a  $\mathbb{C}$ -basis of  $\mathfrak{g}$ , then the monomials  $X_1^{k_1}...X_n^{k_n}$  (with  $k_i \in \mathbb{Z}_{\geq 0}$ ) form a  $\mathbb{C}$ -basis of  $U(\mathfrak{g})$ .

In particular  $U(\mathfrak{g})$  has countable dimension over  $\mathbb{C}$ . We give next a very nice application of this observation.

## Dixmier's Schur lemma

(I) Let Z(g) be the centre of U(g). We will see later on that any D ∈ Z(g) commutes with G(ℝ), thus acts by endomorphisms on any (g, K)-module and on V<sup>∞</sup> for any V ∈ Rep(G(ℝ)). The analogue of Schur's lemma in Rep(G(ℝ)) for (g, K) – Mod is:

Theorem (Dixmier) If  $M \in (\mathfrak{g}, K) - Mod$  is a simple object, then  $\operatorname{End}_{(\mathfrak{g}, K) - Mod}(M) = \mathbb{C}$ . In particular  $Z(\mathfrak{g})$  acts by scalars on M.

The same result (with the same proof) applies to simple  $U(\mathfrak{g})$ -modules.

# Dixmier's Schur lemma

 Let T be a non scalar endomorphism. By simplicity T - a is invertible for a ∈ C, thus P(T) is invertible for P ∈ C[X] nonzero. Thus C(X) embeds (as C-vector space) in End(M) and dim<sub>C</sub> End(M) is uncountable.

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# Dixmier's Schur lemma

- Let T be a non scalar endomorphism. By simplicity T a is invertible for a ∈ C, thus P(T) is invertible for P ∈ C[X] nonzero. Thus C(X) embeds (as C-vector space) in End(M) and dim<sub>C</sub> End(M) is uncountable.
- (II) Let  $v \in M \setminus \{0\}$ , then again by simplicity  $f \to f(v)$  induces an embedding

 $\operatorname{End}(M) \subset M.$ 

On the other hand  $U(\mathfrak{g})\mathbb{C}[K]v$  is a nonzero sub- $(\mathfrak{g}, K)$ -module of M, thus equal to M. Since  $U(\mathfrak{g})$  has countable dimension, so does M, contradicting the previous paragraph!

(I) The next result is much more subtle.

Theorem (Segal) Let V be an irreducible unitary representation of  $G(\mathbb{R})$ . Then  $Z(\mathfrak{g})$  acts by scalars on  $V^{\infty}$ .

The subtle point is that we don't know a priori that  $V^{\infty}$  is an irreducible  $(\mathfrak{g}, K)$ -module!

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(II) Let (.,.) be the G(ℝ)-invariant inner product on V. A simple computation shows that (Xv, w) = -(v, Xw) for X ∈ g<sub>ℝ</sub> and v, w ∈ V<sup>∞</sup>. The map X + iY ∈ g → -(X - iY) ∈ g extends to a semi-linear anti-automorphism U(g) → U(g), D → D<sup>∨</sup>, preserving Z(g) and such that (Dv, w) = (v, D<sup>∨</sup>w) for v, w ∈ V<sup>∞</sup> and D ∈ U(g).

(1) Now let  $D \in Z(\mathfrak{g})$  and suppose that for some  $v \in V^{\infty}$  we have  $Dv \notin \mathbb{C}v$ . We will prove below that for any  $x, y \in V^{\infty}$  there is a sequence  $f_n \in C_c^{\infty}(G(\mathbb{R}))$  such that  $f_n.v \to x$  and  $f_nDv \to y$ . Then for any  $z \in V^{\infty}$ 

$$(y,z) = \lim_{n \to \infty} (f_n Dv, z) = \lim_{n \to \infty} (Df_n v, z) =$$
  
 $\lim_{n \to \infty} (f_n v, D^{\vee} z) = (x, D^{\vee} z) = (Dx, z),$ 

where we used that D and  $f_n$  commute since  $D \in Z(\mathfrak{g})$  must commute with the adjoint action of  $G(\mathbb{R})$  (cf. next slides). Since  $V^{\infty}$  is dense, it follows that y = Dx for any  $x, y \in V^{\infty}$ , a contradiction.

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(1) Now let  $D \in Z(\mathfrak{g})$  and suppose that for some  $v \in V^{\infty}$  we have  $Dv \notin \mathbb{C}v$ . We will prove below that for any  $x, y \in V^{\infty}$  there is a sequence  $f_n \in C_c^{\infty}(G(\mathbb{R}))$  such that  $f_n.v \to x$  and  $f_nDv \to y$ . Then for any  $z \in V^{\infty}$ 

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where we used that D and  $f_n$  commute since  $D \in Z(\mathfrak{g})$  must commute with the adjoint action of  $G(\mathbb{R})$  (cf. next slides). Since  $V^{\infty}$  is dense, it follows that y = Dx for any  $x, y \in V^{\infty}$ , a contradiction.

(II) Thus, to finish the proof, it suffices to prove that for any linearly independent family  $v_1, ..., v_n \in V^{\infty}$  the set  $Y := \{(f.v_1, ..., f.v_n) | f \in C_c^{\infty}(G(\mathbb{R}))\}$  is dense in  $V^n$ .

Let X be the closure of Y. One easily checks that Y is G(ℝ)-stable, thus so is X. It easily follows that the orthogonal projection p: V<sup>n</sup> → X is G(ℝ)-equivariant. But by Schur's lemma End<sub>G(ℝ)</sub>(V<sup>n</sup>) = M<sub>n</sub>(ℂ), thus p(x) = Ax for some A ∈ M<sub>n</sub>(ℂ). But (v<sub>1</sub>,..., v<sub>n</sub>) ∈ X (use a Dirac sequence), so p(v<sub>1</sub>,..., v<sub>n</sub>) = (v<sub>1</sub>,..., v<sub>n</sub>). Since the v<sub>i</sub> are linearly independent over ℂ, this forces A = I and p = id, thus X = V<sup>n</sup> and we are done.

# Application of elliptic regularity

 The rest of the lecture is devoted to proving that Z(g) has a huge influence on the representation theory of G(R). We will need the following nontrivial consequence of the elliptic regularity theorem, which we take for granted:

Theorem Let  $V \in \operatorname{Rep}(G(\mathbb{R}))$  and let  $v \in HC(V)$  be a  $Z(\mathfrak{g})$ -finite vector. Then for any  $l \in V^*$  the map  $G(\mathbb{R}) \to \mathbb{C}, g \to l(g.v)$  is real analytic.

(I) For any  $M \in (\mathfrak{g}, K) - Mod$  we have

$$M = \bigoplus_{\pi \in \hat{\mathcal{K}}} M(\pi),$$

where  $M(\pi)$  is the  $\pi$ -isotypic component of M, i.e.  $M(\pi) = e_{\pi}(M)$ , where  $e_{\pi}$  is the idempotent associated to  $\pi$ . Equivalently,  $M(\pi)$  is the sum of all K-subrepresentations of M isomorphic to  $\pi$ .

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where  $M(\pi)$  is the  $\pi$ -isotypic component of M, i.e.  $M(\pi) = e_{\pi}(M)$ , where  $e_{\pi}$  is the idempotent associated to  $\pi$ . Equivalently,  $M(\pi)$  is the sum of all K-subrepresentations of M isomorphic to  $\pi$ .

(II) We say that M is **admissible** if  $M(\pi)$  is finite dimensional for all  $\pi \in \hat{K}$ . The Harish-Chandra functor preserves admissibility

 $HC: \operatorname{Rep}(G(\mathbb{R})) \to (\mathfrak{g}, K) - Mod, \ HC(V):= V^{K-\operatorname{fin}} \cap V^{\infty}.$ 

(I) Here is a first crucial result, making the theory of admissible representations of  $G(\mathbb{R})$  essentially algebraic:

Theorem (Harish-Chandra) Let  $V \in \text{Rep}(G(\mathbb{R}))$  be an admissible representation.

a) We have  $HC(V) = V^{K-\mathrm{fin}}$  and any  $v \in HC(V)$  is  $Z(\mathfrak{g})$ -finite.

b) The maps  $W \to HC(W)$  and  $N \to \overline{N}$  give a bijection between sub-representations of V and sub-objects of HC(V). In particular V is irreducible if and only if HC(V) is so.

With similar arguments one proves that if V, W are admissible  $G(\mathbb{R})$ -representations, then any continuous linear map  $f: V \to W$  which sends HC(V) to HC(W) and is  $(\mathfrak{g}, K)$ -equivariant is actually  $G(\mathbb{R})$ -equivariant.

(1) We start by proving that  $HC(V) = V^{K-\text{fin}}$ . Since  $V^{K-\text{fin}} = \bigoplus_{\pi} V(\pi)$  (cf. lecture 2), it suffices to show that  $V(\pi) \subset V^{\infty}$  for  $\pi \in \hat{K}$ . Since  $V(\pi)$  is finite dimensional by assumption, this reduces further to the density of  $V(\pi) \cap V^{\infty}$  in  $V(\pi)$ .

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(II) Pick  $v \in V(\pi)$  and  $f_n$  a Dirac sequence consisting of smooth functions. Extend  $e_{\pi} \in C(K)$  to  $C(G(\mathbb{R}))$  and consider  $e_{\pi}.(f_n.v) = (e_{\pi} * f_n).v$ . These vectors are in  $V(\pi) \cap V^{\infty}$  and converge to  $e_{\pi}.v = v$ , so we are done.

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(III) Since  $V(\pi)$  is finite dimensional and preserved by  $Z(\mathfrak{g})$ , it is clear that it consists of  $Z(\mathfrak{g})$ -finite vectors, thus so does HC(V).

(1) We next show that if N is  $(\mathfrak{g}, K)$ -stable in M := HC(V), then  $\overline{N}$  is  $G(\mathbb{R})$ -stable. Since  $G(\mathbb{R}) = G(\mathbb{R})^0 K$  by the Cartan decomposition, it suffices to check that  $G(\mathbb{R})^0 N \subset \overline{N}$ .

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- (II) By Hahn-Banach it suffices to check that any  $I \in V^*$ vanishing on  $\overline{N}$  also vanishes on  $G(\mathbb{R})^0 N$ . By the previous theorem for any  $v \in N$  the map  $f : g \to I(gv)$  is real analytic on  $G(\mathbb{R})^0$ . Its derivatives at 1 are computed in terms of the action of  $U(\mathfrak{g})$  on v, and I vanishes on  $U(\mathfrak{g})v$ , thus all derivatives at 1 vanish and f = 0.

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- (III) Since HC(W) is dense in W, we have  $\overline{HC(W)} = W$ . We still need  $HC(\bar{N}) = N$  for a sub-object N of HC(V). By a) this reduces to  $N(\pi) = \bar{N}(\pi)$  for  $\pi \in \hat{K}$ . But  $\bar{N}(\pi)$  is contained in  $V(\pi)$ , thus it is finite dimensional, and clearly  $N(\pi)$  is dense in  $\bar{N}(\pi)$ , so we win again.

#### The key finiteness theorem

(I) The next theorem is fundamental.

Theorem (Harish-Chandra) If  $M \in (\mathfrak{g}, K) - Mod$  is finitely generated as  $U(\mathfrak{g})$ -module, then  $M(\pi)$  is finitely generated over  $Z(\mathfrak{g})$  for any  $\pi \in \hat{K}$ .

We will discuss the very technical proof later on, let's focus on the many and important consequences.

#### The key finiteness theorem

(I) A first important consequence is

Theorem A  $(\mathfrak{g}, K)$ -module generated over  $U(\mathfrak{g})$  by finitely many  $Z(\mathfrak{g})$ -finite vectors is admissible.

Say *M* is generated by  $v_1, ..., v_n$ , with  $v_i$  killed by some ideal *J* of finite codimension in  $Z(\mathfrak{g})$ . If  $\pi \in \hat{K}$ , then  $M(\pi)$  is finitely generated over  $Z(\mathfrak{g})$  (by the previous theorem) and killed by *J*, thus a finitely generated  $Z(\mathfrak{g})/J$ -module and a finite dimensional  $\mathbb{C}$ -vector space.

(I) Here is a first important application:

Theorem Any irreducible  $(\mathfrak{g}, K)$ -module is admissible.

Say M is irreducible, let  $v \in M$  nonzero and pick a basis  $v_1, ..., v_d$  of  $\mathbb{C}[K]v$ . Then  $v_i$  generate M as a  $U(\mathfrak{g})$ -module and they are  $Z(\mathfrak{g})$ -finite by Dixmier's theorem. So we win thanks to the previous theorem.

(I) The analogue of the previous result fails in Rep(G(ℝ))
 (counterexamples are not easy to find!), but holds if we add a unitarity hypothesis:

Theorem Any irreducible **unitary**  $G(\mathbb{R})$ -representation is admissible.

Say V is irreducible unitary and let  $\pi \in \hat{K}$ . Let  $v \in V^{\infty} \setminus \{0\}$ . By Segal's theorem v is  $Z(\mathfrak{g})$ -finite. The key input is the following

Lemma Let  $V \in \operatorname{Rep}(G(\mathbb{R}))$  and  $v \in HC(V)$  be  $Z(\mathfrak{g})$ -finite. Then  $M = U(\mathfrak{g})\mathbb{C}[K]v$  is admissible, its closure  $\overline{M}$  is the closure of  $\mathbb{C}[G(\mathbb{R})]v$  and  $\overline{M}(\pi) = M(\pi)$  for  $\pi \in \hat{K}$ .

By the lemma the closure of M = U(g)C[K]v is V (by irreducibility of V) and V(π) = M(π) = M(π) is finite dimensional, so V is admissible.

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- (II) Let us prove the lemma. Let W be the closure of C[G(R)]v. Clearly M ⊂ W, thus M ⊂ W. If the inclusion is strict, by Hahn-Banach there is I ∈ W\* nonzero vanishing on M. The derivatives of the real analytic function g → I(gv) vanish at 1 and we easily get a contradiction.

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- (III) Next, by a previous theorem M is admissible. Since  $M(\pi)$  is dense in  $\overline{M}(\pi)$  and  $M(\pi)$  is finite dimensional, we have  $M(\pi) = \overline{M}(\pi)$ , finishing the proof.

(I) Finally, we can also prove the harmonicity theorem:

#### Theorem (Harish-Chandra)

Let  $V \in \operatorname{Rep}(G(\mathbb{R}))$  and let  $v \in HC(V)$  be a  $Z(\mathfrak{g})$ -finite vector. There is  $f \in C_c^{\infty}(G(\mathbb{R}))$ , invariant by conjugation by K and such that v = f.v.

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(I) Finally, we can also prove the harmonicity theorem:

Theorem (Harish-Chandra) Let  $V \in \operatorname{Rep}(G(\mathbb{R}))$  and let  $v \in HC(V)$  be a  $Z(\mathfrak{g})$ -finite vector. There is  $f \in C_c^{\infty}(G(\mathbb{R}))$ , invariant by conjugation by K and such that v = f.v.

(II) Let J be the space of functions  $f \in C_c^{\infty}(G(\mathbb{R}))$ , invariant under conjugation by K. It contains a Dirac sequence, thus v is in the closure of J.v, thus it suffices to prove that J.v is finite dimensional.

(1) Let 
$$M = U(\mathfrak{g})\mathbb{C}[K]v$$
. By the above lemma,  $\overline{M}$  is  $G(\mathbb{R})$ -stable, thus also *J*-stable, and moreover  $M = \bigoplus_{\pi \in \widehat{K}} \overline{M}(\pi)$ , with each  $\overline{M}(\pi) = M(\pi)$  finite dimensional.

- (1) Let  $M = U(\mathfrak{g})\mathbb{C}[K]v$ . By the above lemma,  $\overline{M}$  is  $G(\mathbb{R})$ -stable, thus also *J*-stable, and moreover  $M = \bigoplus_{\pi \in \widehat{K}} \overline{M}(\pi)$ , with each  $\overline{M}(\pi) = M(\pi)$  finite dimensional.
- (II) Since elements of J are invariant under conjugation by K, they preserve each  $\overline{M}(\pi)$ . Now  $v \in M$ , thus there are finitely many  $\pi_i$  such that  $v \in \sum_i M(\pi_i)$  and by the previous discussion  $J.v \subset \sum_i M(\pi_i)$  is finite dimensional, finishing the proof.

#### Proof of the finiteness theorem

#### (I) Recall that we want to prove

Theorem (Harish-Chandra) If  $M \in (\mathfrak{g}, \mathcal{K}) - Mod$  is finitely generated as  $U(\mathfrak{g})$ -module, then  $M(\pi)$  is finitely generated over  $Z(\mathfrak{g})$  for any  $\pi \in \hat{\mathcal{K}}$ .

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This needs a lot of preparation...

# Filtration on $U(\mathfrak{g})$

(I) Let  $U_0 = \mathbb{C}$  and for  $n \ge 1$  let

$$U_n = \operatorname{Span}_{X_1, \dots, X_k \in \mathfrak{g}, k \leq n} X_1 \dots X_k.$$

The  $U_n$  form an increasing sequence of finite dimensional  $\mathbb{C}$ -vector spaces with union  $U(\mathfrak{g})$  and  $U_nU_m \subset U_{n+m}$ . This induces a filtration on  $U(\mathfrak{g})$  and

$$\operatorname{gr}(U(\mathfrak{g})) = U_0 \oplus U_1/U_0 \oplus U_2/U_1 \oplus ...$$

is naturally a  $\mathbb{C}\text{-algebra}.$  A simple exercise shows that this algebra is commutative, so the natural map

$$\mathfrak{g} 
ightarrow U(\mathfrak{g}) 
ightarrow \mathrm{gr}(U(\mathfrak{g}))$$

extends to a map of  $\mathbb{C}\text{-algebras}$ 

$$S(\mathfrak{g}) \to \operatorname{gr}(U(\mathfrak{g})),$$

which can be shown (exercise) to be an isomorphism.

#### Study of the center

(1) Let's consider now the center  $Z(\mathfrak{g})$  of  $U(\mathfrak{g})$ . By definition

$$Z(\mathfrak{g}) = \{ D \in U(\mathfrak{g}) | DX = XD, \forall X \in \mathfrak{g} \}$$

is the centralizer of  $\mathfrak{g}$ . The adjoint action of G on  $\mathfrak{g}$  extends to an action on  $U(\mathfrak{g})$ , preserving each  $U_n$  and making  $U(\mathfrak{g})$ an algebraic representation of G. Since G is connected, one easily checks that

$$Z(\mathfrak{g})=U(\mathfrak{g})^G$$

and since G is reductive (thus passage to G-invariants is exact on algebraic representations) we obtain

$$\operatorname{gr}(Z(\mathfrak{g})) = \operatorname{gr}(U(\mathfrak{g})^G) = \operatorname{gr}(U(\mathfrak{g}))^G \simeq S(\mathfrak{g})^G,$$

for the natural filtration on  $Z(\mathfrak{g})$  induced by  $U(\mathfrak{g})$ .

(I) The algebra S(g)<sup>G</sup> = S(g)<sup>g</sup> was described by Chevalley and the result is stunningly beautiful: it is a polynomial algebra in r variables, where r is the dimension of a maximal torus T in G. More precisely, let W = N<sub>G</sub>(T)/T be the Weyl group of the pair (G, T).

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- (II) There is a *G*-equivariant isomorphism  $\mathfrak{g} \simeq \mathfrak{g}^*$  (pick an embedding  $G \subset \mathbb{GL}_n(\mathbb{C})$  and use the *G*-invariant bilinear form  $(X, Y) \to \operatorname{Tr}(XY)$  on  $\mathfrak{g}$ ), so we can identify

$$S(\mathfrak{g})\simeq S(\mathfrak{g}^*)\simeq \mathbb{C}[\mathfrak{g}]$$

in a *G*-equivariant way, thus  $S(\mathfrak{g})^G$  is isomorphic to the ring of polynomial functions on  $\mathfrak{g}$  invariant under the adjoint action of *G*.

(I) There is a natural restriction map

$$\mathbb{C}[\mathfrak{g}]^G \to \mathbb{C}[\mathfrak{t}]^W,$$

where T = Lie(T) and Chevalley's famous theorem is

Theorem (Chevalley's restriction theorem) The above map is an isomorphism and  $\mathbb{C}[\mathfrak{t}]^W$  is a polynomial algebra in dim T generators.

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Theorem (Chevalley's restriction theorem) The above map is an isomorphism and  $\mathbb{C}[\mathfrak{t}]^W$  is a polynomial algebra in dim T generators.

(II) The proof requires a delicate study of the finite dimensional representations of G (there are ways to avoid it, though, but still the argument is intricate), but the case  $G = \mathbb{GL}_n(\mathbb{C})$  is an excellent exercise!

 We are finally in good shape for the proof of the theorem. Pick generators m<sub>1</sub>, ..., m<sub>n</sub> of M over U(g) and set V = ∑ C[K]m<sub>i</sub>, then the obvious map U(g) ⊗<sub>C</sub> V → M descends to a surjection

 $U(\mathfrak{g})\otimes_{U(\mathfrak{k}_{\mathbb{C}})}V\to M.$ 

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$$U(\mathfrak{g})\otimes_{U(\mathfrak{k}_{\mathbb{C}})}V\to M.$$

(II) It suffices to prove that Hom<sub>K</sub>(π, U(g) ⊗<sub>U(t<sub>C</sub>)</sub> V) is finitely generated over Z(g). Let

$$W = V \otimes_{\mathbb{C}} \pi^*, \ N = U(\mathfrak{g}) \otimes_{U(\mathfrak{k}_{\mathbb{C}})} W,$$

then we need to show that  $N^{\mathcal{K}}$  is finitely generated over  $Z(\mathfrak{g})$ .

 The PBW filtration on U(g) induces one on N, preserved by the action of K, and a simple argument shows that it suffices to prove that gr(N<sup>K</sup>) is finitely generated over gr(Z(g)). Since K is compact, we have gr(N<sup>K</sup>) ~ (gr(N))<sup>K</sup>.

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(II) Next, the surjection

$$U(\mathfrak{g})\otimes_{\mathbb{C}}W \to N$$

induces a surjection

$$S(\mathfrak{g})\otimes_{\mathbb{C}}W \to \operatorname{gr}(N),$$

which factors trivially

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(III) Thus it suffices to prove that  $(S(\mathfrak{g})/\mathfrak{k}_{\mathbb{C}}S(\mathfrak{g})\otimes_{\mathbb{C}}W)^{K}$  is finitely generated over  $\operatorname{gr}(Z(\mathfrak{g}))$ .

(I) By the Cartan-Chevalley-Mostow theorem WLOG  $G(\mathbb{R})$  is self-adjoint, i.e. stable under transpose, and

 $K = G(\mathbb{R}) \cap U(n).$ 

The Cartan involution  $\theta$  :  $G(\mathbb{R}) \to G(\mathbb{R}), g \to (g^T)^{-1}$ induces a decomposition

$$\mathfrak{g}_{\mathbb{R}} := \operatorname{Lie}(G(\mathbb{R})) = \mathfrak{k} \oplus \mathfrak{p},$$
 $\mathfrak{k} = \mathfrak{g}_{\mathbb{R}}^{\theta=1}, \ \mathfrak{p} = \mathfrak{g}_{\mathbb{R}}^{\theta=-1}.$ 

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(1) The decomposition  $\mathfrak{g} = \mathfrak{k}_{\mathbb{C}} \oplus \mathfrak{p}_{\mathbb{C}}$  induces an isomorphism $S(\mathfrak{g})/\mathfrak{k}_{\mathbb{C}}S(\mathfrak{g}) \simeq S(\mathfrak{p}_{\mathbb{C}}).$ 

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(III) Let  $\mathfrak{a}$  be a maximal commutative subspace of  $\mathfrak{p}$ .

(1) We need the following tricky result (easy for  $\mathbb{GL}_n$ ):

Theorem We have  $\mathfrak{p} = \bigcup_{k \in \mathcal{K}} \mathrm{Ad}(k)(\mathfrak{a})$ .

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Theorem We have  $\mathfrak{p} = \bigcup_{k \in \mathcal{K}} \mathrm{Ad}(k)(\mathfrak{a})$ .

(II) Keep identifying elements of the symmetric algebra of g, p<sub>C</sub>, ... with polynomial functions on g, p<sub>C</sub>, .... The theorem implies that that restriction to a<sub>C</sub> induces an embedding

$$(S(\mathfrak{p}_{\mathbb{C}})\otimes_{\mathbb{C}}W)^{K}\subset \mathbb{C}[\mathfrak{a}_{\mathbb{C}}]\otimes_{\mathbb{C}}W,$$

so (since  $\mathbb{C}[\mathfrak{g}]^G$  is noetherian) it suffices to prove that the restriction map  $\mathbb{C}[\mathfrak{g}]^G \to \mathbb{C}[\mathfrak{a}_{\mathbb{C}}]$  is finite.

(1) But one can check that  $\mathfrak{a}_{\mathbb{C}}$  is the Lie algebra of a maximal torus in G, so the result follows from Chevalley's restriction theorem.

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# Harish-Chandra's isomorphism

(I) Harish-Chandra used the previous theorem to prove his famous theorem describing Z(g). To state it, pick a Borel subgroup B containing T and let N be its unipotent radical. Let n = Lie(N) and b = Lie(B) and consider

$$M = U(\mathfrak{g})/U(\mathfrak{g})\mathfrak{n} \simeq U(\mathfrak{g}).$$

There is a natural embedding  $U(\mathfrak{t}) \subset M$  and  $U(\mathfrak{t}) \simeq S(\mathfrak{t})$  since T is commutative. The proof of the next result is not very hard:

Theorem For any  $a \in Z(\mathfrak{g})$  there is a unique  $x \in U(\mathfrak{t})$  such that the image of a in M is the same as the image of x. Sending a to x yields a homomorphism of algebras

$$\varphi: Z(\mathfrak{g}) \to U(\mathfrak{t}).$$

### Harish-Chandra's isomorphism

 Let ρ∈ ½X(T) be half the sum of the positive roots attached to (G, B, T), i.e. the roots appearing in n. We define a new action of W on t\* by

$$w \cdot \lambda = w(\lambda + \rho) - \rho.$$

This induces an action of W on  $S(\mathfrak{t}) \simeq \mathbb{C}[\mathfrak{t}^*]$ .

Theorem (Harish-Chandra's isomorphism) The map  $Z(\mathfrak{g}) \rightarrow S(\mathfrak{t})$  in the previous theorem induces an isomorphism

$$Z(\mathfrak{g})\simeq S(\mathfrak{t})^W$$

and this is a polynomial algebra in dim T generators.

### Harish-Chandra's isomorphism

 The hard part in the proof is showing that the image of φ is invariant under W, which is done by some explicit computations with Verma modules, i.e. quotients of the form M<sub>λ</sub> = M ⊗<sub>U(t)</sub> C for λ : t → C. Once this is achieved, one checks without much pain that φ induces on the associated graded rings precisely Chevalley's restriction isomorphism.

Let now G be a connected reductive group over Q and let Γ be an arithmetic subgroup of G(Q). We want to prove that for any ideal J of finite codimension in Z(g) and any π<sub>1</sub>,..., π<sub>n</sub> ∈ K̂ the space of f ∈ A(G, Γ) of types J and π<sub>1</sub>,..., π<sub>n</sub> is finite dimensional. We proved this last time for the cuspidal subspace, and also explained a reduction to the case A<sub>G</sub> = 1 (A<sub>G</sub> being the split component of G).

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- (II) To prove the result in general we induct on the Q-rank of G, i.e. the dimension of the maximal Q-split tori in G. If this is 0, then G is anisotropic, so all forms are cuspidal and we are done. Say this is > 0. If there are no proper Q-parabolics in G we are done by the same argument, so suppose that this is not the case. We saw last time that the set of Q-parabolics up to Γ-conjugacy is finite, pick representatives P<sub>1</sub>, ..., P<sub>r</sub>.

(1) Let  $f \in \mathscr{A}(G, \Gamma)$  and consider  $f_i = f_{P_i}$ , the constant term along each  $P_i$ . By properties of the constant term, the kernel of the map  $\varphi: f \to (f_{P_1},...,f_{P_r})$  consists of cusp forms, so the restriction of the kernel to forms of type  $J, \pi_1, ..., \pi_n$  is finite dimensional (the main result of the last lecture). So it suffices to prove that the image of  $\mathscr{A}(G, \Gamma)[J, \pi_1, ..., \pi_r]$  is finite dimensional. Let  $L_i = N_i/P_i$  be the Levi quotient of  $P_i$ , with  $N_i$  the unipotent radical of  $P_i$ . We will see below that  $f_i$  are automorphic forms on  $L_i$  for the arithmetic subgroups  $\Gamma_i$  (image of  $P_i \cap \Gamma$  in  $L_i$ ), with K and  $Z(\mathfrak{g})$ -types determined by J and the  $\pi_i$ . By the inductive hypothesis (the  $L_i$  have smaller  $\mathbb{Q}$ -rank than G)  $\varphi(\mathscr{A}(G,\Gamma)[J,\pi_1,...,\pi_r])$  is finite dimensional and so we win!

(II) First, by design

$$f_P(g) = \int_{N(\mathbb{R})\cap\Gamma\setminus N(\mathbb{R})} f(ng)dn$$

is left  $N(\mathbb{R})$ -invariant and also left  $P \cap \Gamma$ -invariant, thus it defines a function on  $L(\mathbb{R}) \simeq N(\mathbb{R})/P(\mathbb{R})$  which is left  $\Gamma_L$ -invariant, obviously smooth and of moderate growth.

Let M<sub>P</sub>, A<sub>P</sub>, ... the factors in the Langlands decomposition of P(ℝ). Then K ∩ M<sub>P</sub> is a maximal compact subgroup of P(ℝ) and its image K<sub>L</sub> in L(ℝ) is a maximal compact subgroup of L(ℝ). Using this it is clear that f<sub>P</sub> is K<sub>L</sub>-finite, of type specified by the π<sub>i</sub>.

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- (II) The hard part is proving that  $f_P$  is  $Z(\mathfrak{l})$ -finite, of type specified by J. The same argument as in the construction of the Harish-Chandra isomorphism yields a homomorphism

$$\varphi_{\mathfrak{l}}: Z(\mathfrak{g}) \to Z(\mathfrak{l})$$

such that  $D - \varphi_{\mathfrak{l}}(D) \in U(\mathfrak{g})\mathfrak{n}$  for  $D \in Z(\mathfrak{g})$ .

 Since f<sub>P</sub> is left N(ℝ)-invariant, it is killed by n and thus φ<sub>I</sub>(J)Z(I) kills f<sub>P</sub>. It suffices to show that this ideal has finite codimension in Z(I) and for this it suffices to show that φ<sub>I</sub> is finite. Again, passing to graded pieces it suffices to check that S(g)<sup>G</sup> → S(I)<sup>L</sup> is finite. With the usual identification g ~ g\*, this is just the restriction map. The result follows then easily from the Chevalley restriction theorem.